

Electronic Acknowledgement Receipt

EFS ID:	9205767
Application Number:	10767546
International Application Number:	
Confirmation Number:	6583
Title of Invention:	System and method for routing a trading order
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	04-6163
Receipt Date:	11-JAN-2011
Filing Date:	29-JAN-2004
Time Stamp:	12:16:24
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	9031
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6163_110111_IDS.pdf	43264	no	5
			9d36b8d8a81ac541f1372c78ab5b80399222e8f1		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6163_110111_1449.pdf	32433	no	2
			17967c009249d8b15a2719689466cc8ee012		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	JP_2003-525480.pdf	3678156	no	92
			d2cc33ca8b1170f55ccf1814a00b148143a1b1b		
Warnings:					
Information:					
4	Foreign Reference	WO_00-67172.pdf	3043867	no	100
			98b2ee6fd528c574d97a20199bba1f161202889		
Warnings:					
Information:					
5	Foreign Reference	JP_2003-522992.pdf	1356643	no	33
			7d71c26e412816a2307788512ba3d95b45628ab		
Warnings:					
Information:					
6	Foreign Reference	WO_00-77670.pdf	1227886	no	37
			91df36a1326941155766c56d80748b453ce9f7ba4f		
Warnings:					
Information:					
7	Foreign Reference	WO_2004-068272.pdf	2858667	no	50
			45cc298a2748f84b7d110bc50a524a6f208b136d30c		
Warnings:					
Information:					

8	Foreign Reference	WO_03-001325.pdf	1425421 ad1918f9369f908a52092d16dc4d7520f44625	no	24
Warnings:					
Information:					
9	Foreign Reference	JP_2002-007707.pdf	690535 edbf6b11862457d43b3b37f56818d7e03a39d	no	9
Warnings:					
Information:					
10	Foreign Reference	JP_2002-063402.pdf	440008 2bf94e1593802f0c31b75c5996a1-04203b0b	no	6
Warnings:					
Information:					
11	Foreign Reference	JP_2002-133113.pdf	812376 30ee924ab3d6641edc011ac0f558ee6718975	no	11
Warnings:					
Information:					
12	Foreign Reference	JP_2002-269349.pdf	1051456 2ac595494e974b3fa6902f7db01f5382b5515de	no	12
Warnings:					
Information:					
13	NPL Documents	AU_2005208978_Not_of_Accept_11-30-2010.pdf	107185 abb619db266ac69d23de0c58513cd150e37393	no	3
Warnings:					
Information:					
14	NPL Documents	AU_2005208977_Exam_Report_12-02-2010.pdf	66834 ec61c980621d193ec30227badcf9f312d9fe4	no	1
Warnings:					
Information:					
15	NPL Documents	JP_2008-525268_OA_11-24-2010.pdf	1242563 c170ab4bd13880c99e15abdc123acbaa97e0f	no	7
Warnings:					
Information:					
16	NPL Documents	AU_2005208981_Notice_Accept_12-14-2010.pdf	109241 46ea7123669633f0f740553d652b4c9151f7ee	no	3
Warnings:					
Information:					

17	NPL Documents	AU_2005208979_Not_of_Acceptance_12-20-2010.pdf	107340 af18a6e4b967c7d99a86d97a0a0c6b5ca53324c	no	3
Warnings:					
Information:					
18	NPL Documents	JP_2008-551587_OA_11-24-2010.pdf	1106481 f98ba3ed3318f9ba6a4d76a4b4d8fbc3d7960f	no	6
Warnings:					
Information:					
19	NPL Documents	JP_2006-551589_OA_12-07-2010.pdf	935159 9ee469c3da3134c20153bed13c8ba7b5197ad468	no	6
Warnings:					
Information:					
20	NPL Documents	10-767699_OA_12-22-2010.pdf	416927 9c805f57f810817dc529203284108a72cd82a0d	no	13
Warnings:					
Information:					
21	Fee Worksheet (PTO-875)	fee-info.pdf	29681 302e26a31866c4243ef8831e1181d2dfaa73a05	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			20782123		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.